

# Government Process Re-engineering

**Dr Nirmal K Mandal**  
**Dy General Manager**  
**National Institute for Smart Government, Hyderabad**

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# Agenda

- Need for Transformation of Government
- Understanding e- Governance
- Government Service Categories
- Understanding Government Business Process
- Service Quality Parameters
- Approach to Government Process Re-engineering
- Steps for Process Re-engineering
- Success Factors of GPR

# Need for Transformation in Government..

- Greater awareness
- Better access to information
- Expectations of better service levels

- Changing demographic trends (young population)

- Heightened Media and social activism

Citizen Awareness

Budgetary Constraints

Changing Demographics

Competition for investment

Greater Accountability & Transparency

Public sector reform agenda

- Reduced budgets
- Difficulty in funding by increasing taxes

- Need to attract investment
- Efficiency of public sector – key determinant

- Millennium Development Goals
- Disinvestment policy
- NeGP

# Introduction to e-Governance

What is e-Governance ?

- Ministry of Information Technology defines e-Governance as “... the application of Information Technology to processes of government functioning to bring about a Simple, Moral, Accountable, Responsive and Transparent governance”\*
- Most e-Governance projects focus towards taking existing Government Services and leveraging technology to delivering the services to the customer
  - In a faster time-frame
  - At a reduced costs & effort
  - With enhanced transparency & control

# What is NOT e-Government ?

e-Government is not about **'e'**

but about **government !**

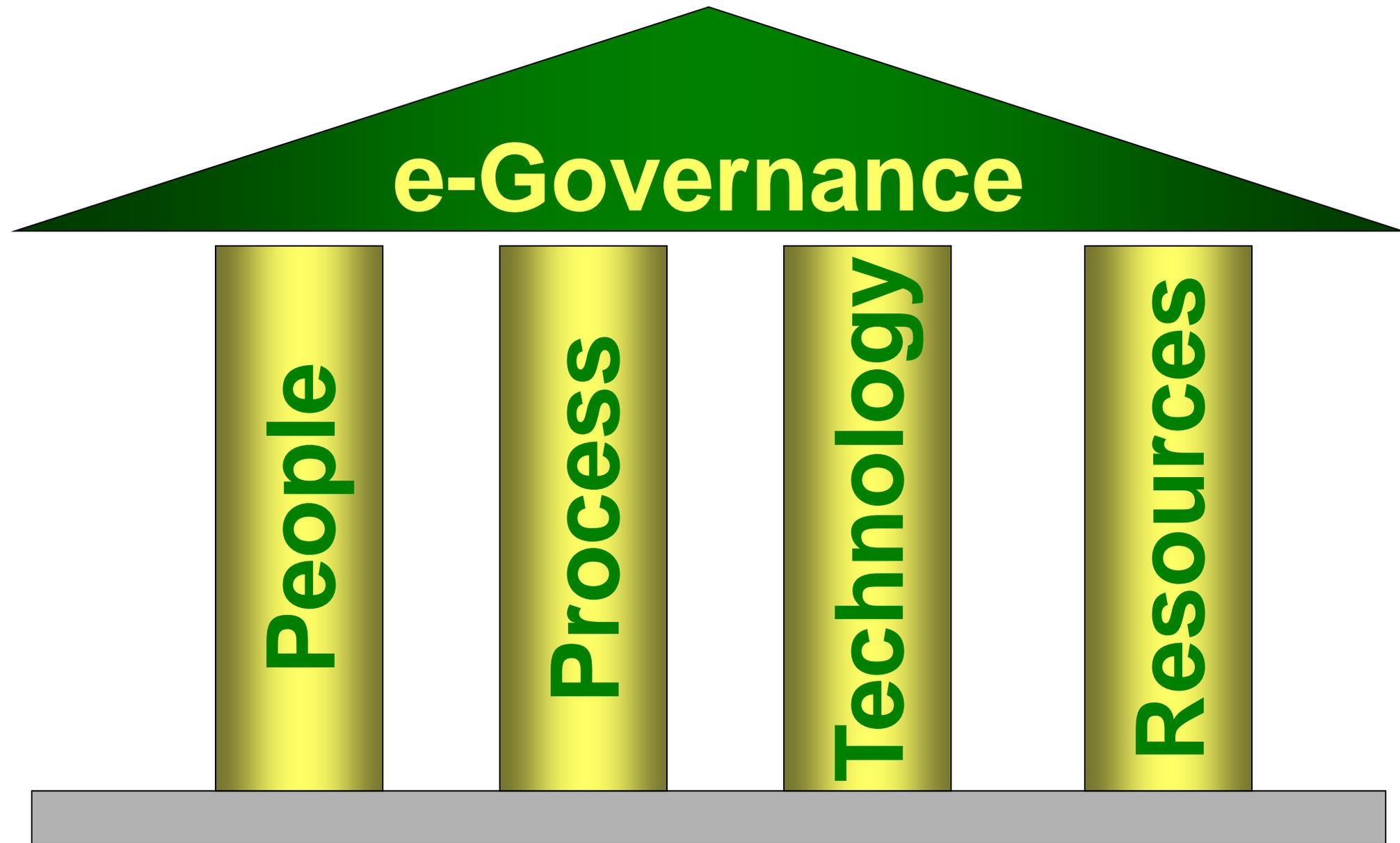
e-Government is not about **computers & Websites**

but about **citizens & businesses!**

e-Government is not about ***translating*** processes

but about **transforming** processes !

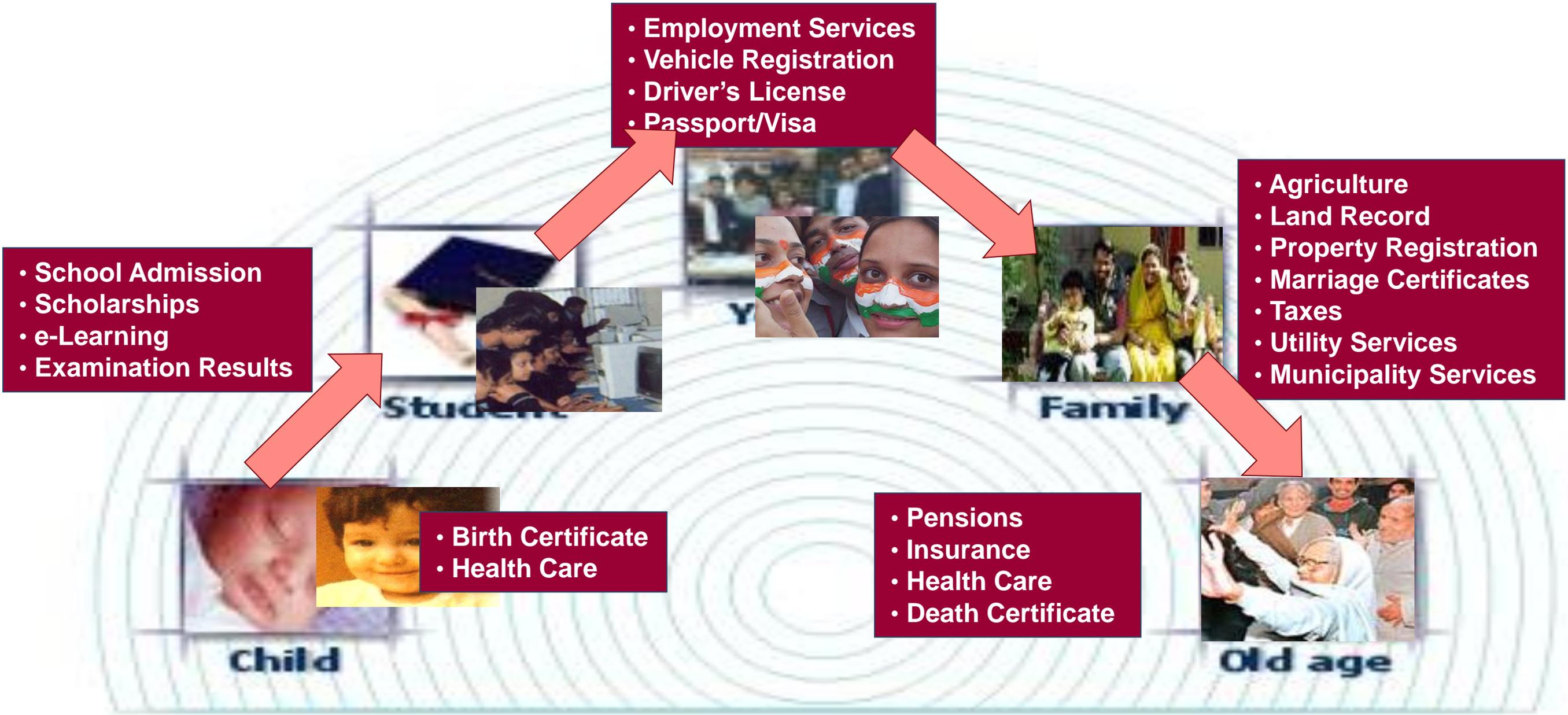
# The Four Pillars of e-Governance



# Government service categories

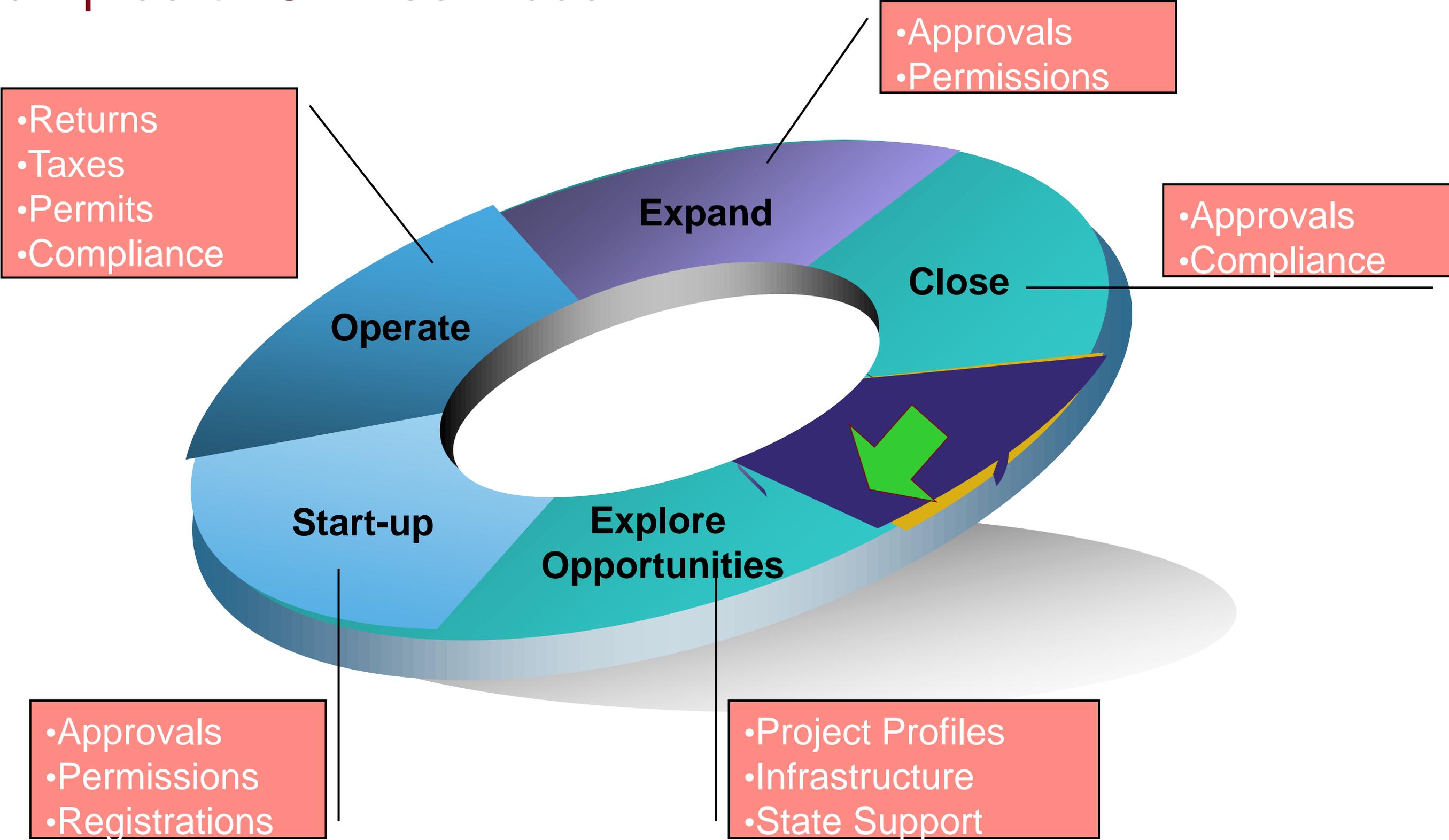
- **G2C (Government to Citizen):** deals with the relationship between government and citizens. G2C allows citizens to access government information and services instantly, conveniently, from everywhere, by use of multiple channels.
- **G2B (Government to Business):** consists of e-interactions between government and the private sector. The opportunity to conduct online transactions with government reduces red tape and simplifies regulatory processes, therefore helping businesses to become more competitive.
- **G2G (Government to Government):** Governments depend on other levels of government within the state to effectively deliver services and allocate responsibilities. In promoting citizen-centric service, a single access point to government is the ultimate goal, for which cooperation among different governmental departments and agencies is necessary. G2G facilitates the sharing of databases, resources and capabilities, enhancing the efficiency and effectiveness of processes.
- **G2E (Government to Employees):** deals with relationship between Government and employees

# Examples of G2C Services



... from cradle to grave

# Examples of G2B services



# Examples of G2E Services

- Housing Support
- Health Care
- Children's Education

- Recruitment
- Job Training
- Skill Development

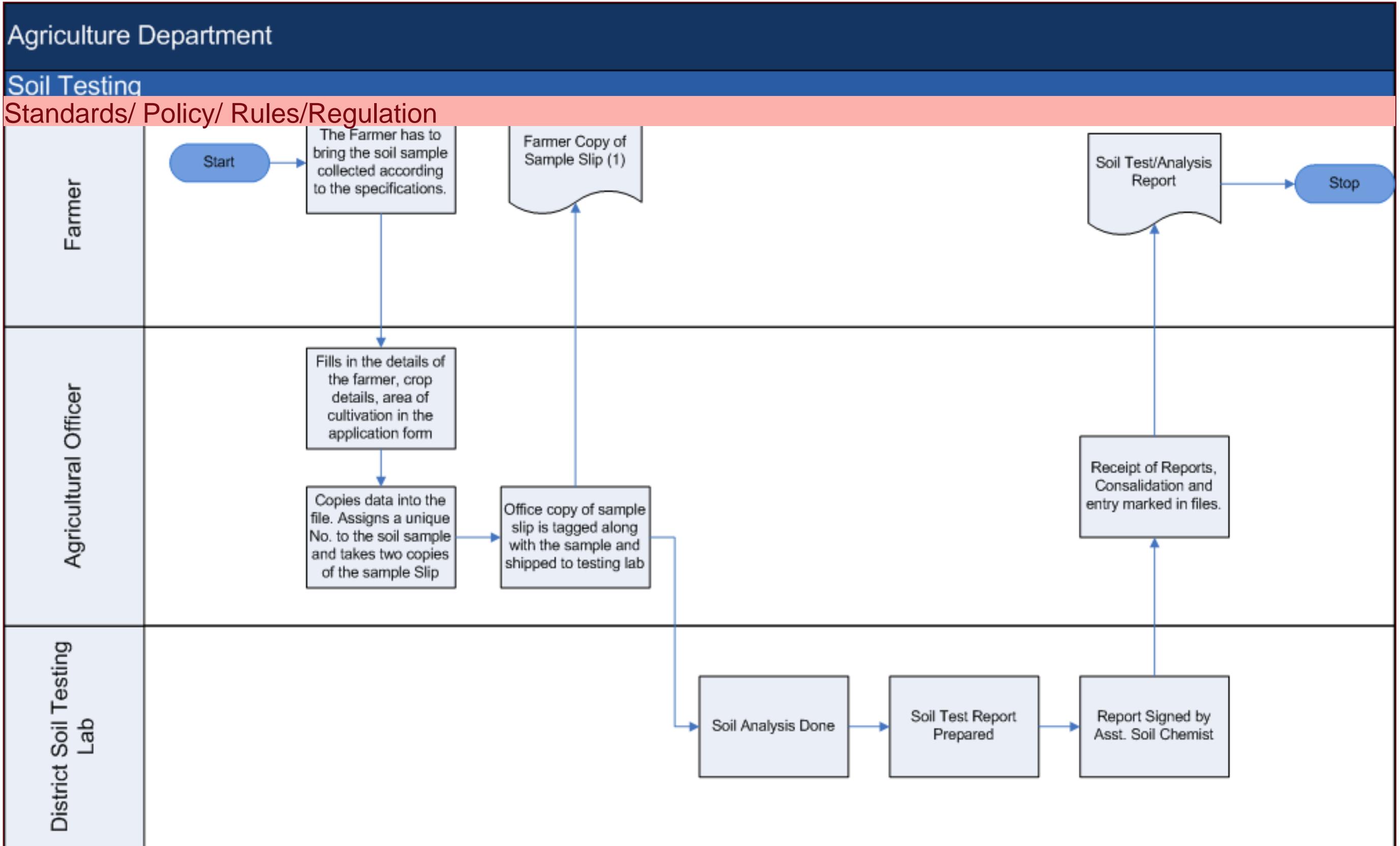
- Pension



# Understanding Business Processes (3 of 3)

- **G2C Service:** Providing a Passport to a Citizen
- **Process:** all activities carried out by Citizen, Government & its agencies
  - From: Receipt of a passport application form
  - To: Delivery of Passport / Communication of Rejection
- **Sub-Process:** The Passport process can be sub-divided into
  - Passport Application Collection
    - Document Verification
    - Fees Collection
  - Passport Processing
  - Passport Delivery
- **Activity:** various steps in the process, e.g.
  - Receipt Issuance: Printing a receipt for the Fee collected

# Examples of As Is Process Mapping



# Root Causes of Poor Service Delivery

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**GPR is an important part of the Solution**

# Process Problem

The Problem is that  
we are governing in the 21<sup>st</sup> century  
with Processes and Organizations  
designed in the 19<sup>th</sup> Century  
to work well in the 20<sup>th</sup> Century!

We need entirely different  
**PROCESSES & ORGANIZATIONS**  
for Governance in the 21<sup>st</sup> Century

# Identification of Services for GPR

We will discuss this approach in next few slides

## Department Services

### Approach 1:

Problems/needs identification through proactive/reactive methods

Identify the services/processes related to the identified problems/needs

Re-engineer the processes

### Approach 2:

Service Value Analysis and Apply Service Prioritization Framework

Identify the high value services to the customers and the department

Re-engineer the processes

# Common challenges with Needs/Problems reported/identified..

- The services are of very poor quality
- There is no transparency in government services
- I am not satisfied with the services
- We need to computerize this process/workflow (problems don't define solutions)
- It is too expensive to deal with government

*None of these problem statements hint at the real 'problems' – they don't identify the specific problems or specific needs with a specific service/specific task or specific output...*

*Such problems are difficult to resolve....*

# Understanding Problem Statements

- Example of good problem statements (*illustrative only*)
  - Only **40% of the ration items distributed** through PDS are reaching eligible families
  - It takes approximately **two months to obtain** death certificate
  - It requires minimum of **ten visits to get the pension amount sanctioned.....**
  - Turn Around Time to Process Passport Application is 3 months
  - It takes **6 hours to get the railway reservation** done in Metro cities in India for reservations across the counter...



# So what else is important?

## ... The entire Passport Issuance Process as seen by the citizen

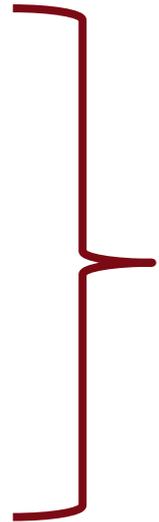
- What if
  - Citizen waits for hours in queue (despite having an appointment)
  - Receives the passport six weeks after dispatch
  - The police verification is delayed
  - Citizen has to come multiple times to log in application
  - Citizen needs to use an agent to get hassle-free service
  - Citizen is asked to pay extra money to get it processed fast
  - Citizen faces rude behavior by the Security guards / counter staff
  - Citizen does not get correct information from helpline/inquiry counter
  - Counter accepts incomplete form and rejects it later
  - Counter does not accept documents defined in the requirement checklist (e.g. letter from Army Officer)
  - The passport is sent to wrong address / person



**Time**



**Cost**



**Customer Experience**

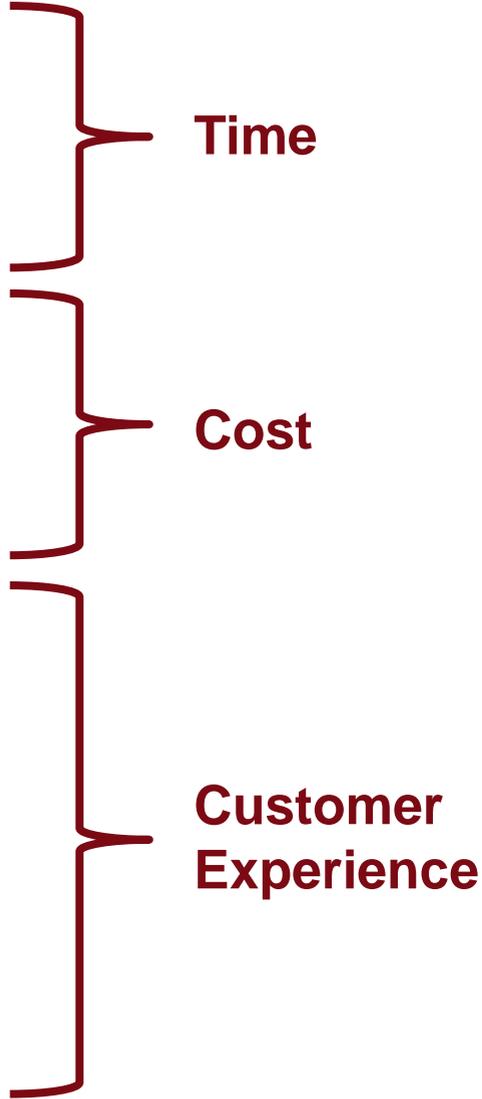
# So what else is important?

## ... The entire Passport Issuance Process as seen by the citizen

- What if
  - Citizen waits for hours in queue (despite having an appointment)
  - Receives the passport six weeks after dispatch
  - The police verification is delayed

Service Quality comprises of the physical **Product**, the **Time** taken to deliver it, the **Cost** of getting the service, and **Customer Experience** or **Service Delivery**

- Counter accepts incomplete form and rejects it later
- Counter does not accept documents defined in the requirement checklist (e.g. letter from Army Officer)
- The passport is sent to wrong address / person



# Key attributes of Service Quality in Government

- **Time**
  - taken for completion of service by the citizen/business
  - Taken for delivery of service by the Government
- **Cost**
  - incurred in receiving the service by the citizen/business
  - Incurred by the government in delivery of service
- **Complexity (illustrative)**
  - Number of forms to be filled, amount of information to be provided, number of offices to be approached.....by the citizen/business
  - Number of employees, number of approvals, number of verifications/validations to be performed by government
- **Transparency**
  - Knowledge on process for delivery of service, delivery timelines, status of service request to citizen and business....

# Exercise: Service Quality Parameters & Defining a problem statement

- Rules
  - a) Identify one service delivered by a department
    - Write down the service quality parameters for that service, and the attributes of those parameters
  - b) identify & develop a suitable problem statement based on the current situation
  
- Time Frame
  - a) 10 minutes for exercise
  - b) 10 minutes for discussion & presentation

# Defining Business Process Reengineering (BPR)

- *BPR is fundamental rethinking and radical redesign of business processes to achieve **dramatic improvements** in critical, contemporary measures of performance, such as cost, quality, service and speed*

Michael Hammer and James Champy

- ‘Changing’/’redesigning’/’replacing’/’eliminating’ the activities and/or sub-processes and/or processes related to a **service** to improve **service quality** i.e.
  - Minimize Time, Cost, Complexity
  - Improve Transparency, Convenience and Experience
- GPR may address all or some of the service quality attributes
- Government Process Re-engineering (GPR) has evolved from applying Business Process Re-engineering (BPR) concepts to Government Services

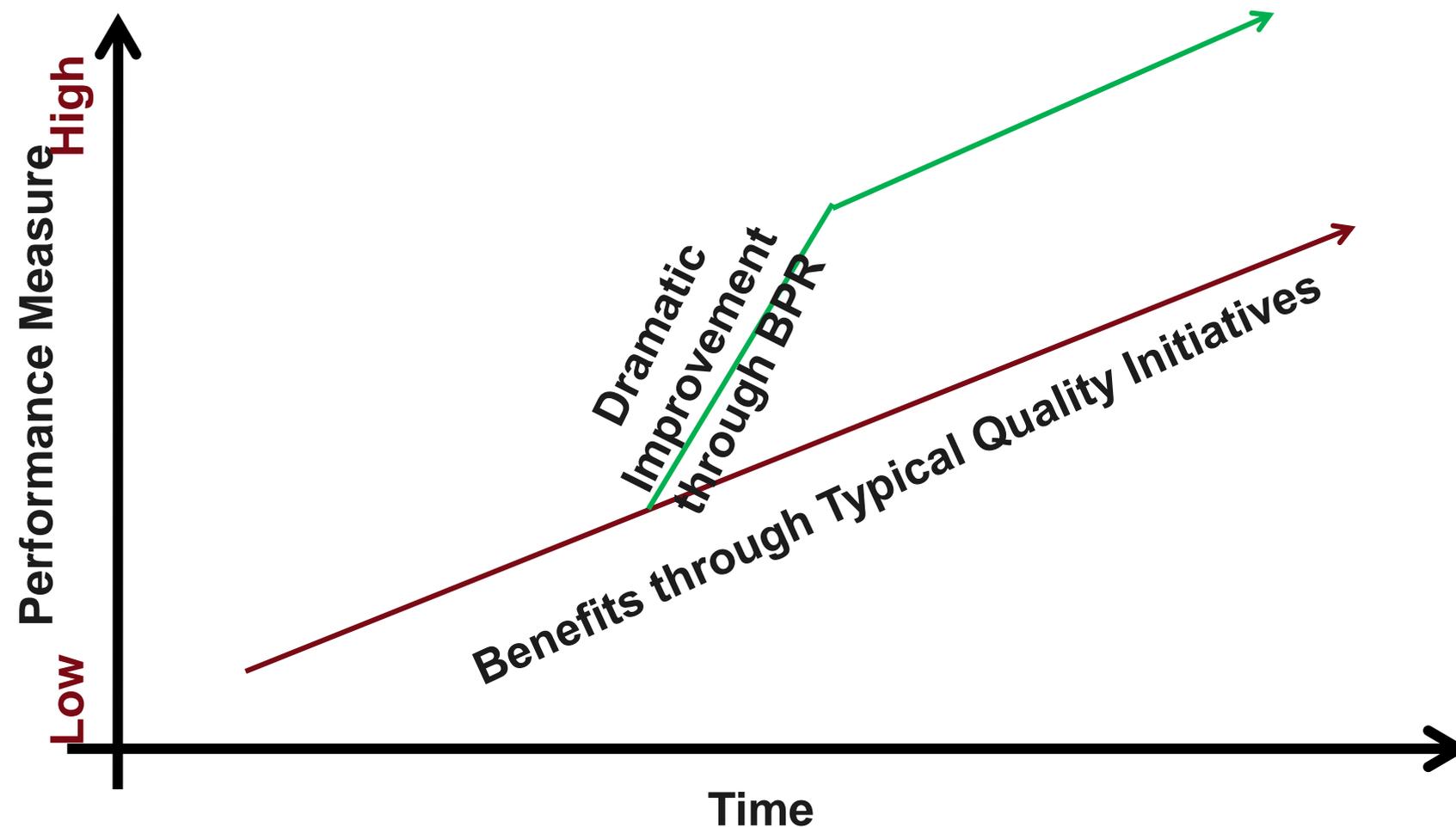
# Why Organizations do GPR/BPR?

- To address the specific concerns of the stakeholders (citizens/Businesses/employees)
- To address the challenges and issues in the services and service delivery
- To improve the quality of the services
- To address the changing needs of the customers (citizens and businesses) and the government

*• GPR is undertaken to address 'problems' or 'needs' of the organization or its customers with an objective to improve the overall quality of the services*

# Need for GPR in e-Governance

- In particular, IT enablement of an inefficient business process can only lead to 'inefficient IT enabled process' – delivering the same results with marginal improvement
- GPR may support organizations in dramatic improvement of performance and application of IT on reengineered processes will yield better results for stakeholders



# BPR/GPR success stories – (1/2)

- Indian Railways

Issuance of passenger ticket

1. Physically going to the counter
2. Filling up application form
3. Submission at the counter
4. Confirmation of the ticket
5. Payment
6. Printing of the ticket on pre-printed government stationary
7. Delivery of ticket

Total time could be between 2 to 3 hours including travel and queue time

# BPR/GPR success stories

- **Indian Railways ..**

## Fundamental rethinking

*Do we really need to be physically present at the counter?*

*Do we really need to submit the application form?*

*Do we really need to have the ticket on pre-printed railway stationary?*

*Can the ticket information be made more easily available to the passenger*

## Radical redesign

*Book tickets online*

*Make payment through credit / debit card*

*Print ticket yourself*

*Send SMS <PNR> to find out the status of booking  
Access to information on [www.irctc.co.in](http://www.irctc.co.in)*

## Achieve dramatic improvements

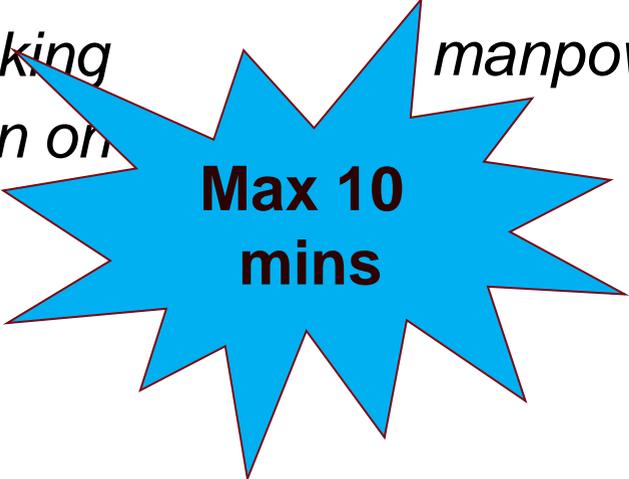
*No hassle of queuing up*

*No hassle of traveling to the booking counter*

*Convenience of booking tickets anytime, and anywhere*

*Freedom from travel agents*

*Government savings on manpower, and stationary*



**Max 10  
mins**



Tour Packages	Flights	Hotels	Tourist Train	Cabs	Loyalty Program
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### Plan My Travel

From\*

To\*

Date\*

Ticket Type\*

Quota\*

- Services
  - Plan My Travel
  - Quick Book
  - Mumbai Season Ticket
  - Banner Auction
- My Transactions
- User Profile
- Shubh Yatra
- General

**Booking History**

**Print Ticket**

**Cancellation**

**Refunds**

**Shubh Yatra**

**File TDR**

**ALWAYS KEEP CORRECT INFORMATION IN YOUR PROFILE, GARBAGE / JUNK VALUES IN PROFILE MAY LEAD TO DEACTIVATION**

You are not a member of Shubh Yatra - Scheme for Frequent Travellers. To become a member, [Click to register now!](#)

- Class 3E Suspended in Train No. 12259/12260(DURONTO EXPRESS). [Click here to know more](#)
- Food charges are not included in ticket fare for the tickets booked on/after 28th Nov 09 in train numbers 12289 & 12290.

Time table of several trains are updated from 1st July 2011, Please check exact train starting time from boarding station before embarking on your journey.

- For normal I-Ticket, booking is permitted at least two clear calendar days in advance of date of journey.
- For e-Ticket, booking can be done upto chart Preparation approximately 4 to 6 hours before departure of train. For morning trains with departure time upto 12.00 hrs charts are prepared on the previous night.
- Opening day booking (120th day in advance, excluding the date of journey) will be available only after 8 AM, along with the counters.

Prices Starts @ ₹ 295

www.mapmyindia.com

# BPR/GPR success stories – (2/2)

- **State Bank of India**

## Request for a Demand Draft

1. Physically going to the branch / counter
2. Filling up the application form
3. Submission of form
4. Verification of signature
5. Preparation / printing of DD
6. Signature of the official(s)
7. Delivery of DD

Total time could be between 1.5 to 2.5 hours including travel and queue time

# BPR/GPR success stories

- State Bank of India

## Fundamental rethinking

*Do we really need to be physically present at the branch / counter?*

*Do we really need to submit the application form at the counter?*

*Can we do away with signature verification at the counter?*

## Radical redesign

*Issue DD on line*

*Transfer funds electronically from your own account*

*Verification through user id and password*

*Request the bank to courier the DD directly to the beneficiary*

## Achieve dramatic improvements

*No hassle of queuing up*

*No hassle of traveling to the bank branch*

*Convenience of issuing DD anytime, and anywhere*

*Freedom from Babus of the bank*

*Government savings on manpower*

**Max 10 mins**

Welcome to Personal Internet Banking

Home

Corporate Banking - Home

Products and Services

How Do I

Security Tips

Sitemap

You are here: Safe Online Banking - Features

### e-TDR/e-STDR

Open Term Deposit accounts online.  
[Know More...](#)



### SBI e-Tax

Pay Direct, Indirect & State Govt taxes online.  
[Know More...](#)



### Demat/ ASBA

ASBA facility with OnlineSBI.  
[Know more Demat & Trading A/c. Apply Now!](#)



## Features for Safe Online Banking

OnlineSBI provides several inbuilt features for safe and secure banking. You can use the security options in the profile tab to:

- **Customize your Personal Profile**  
You can set your display name, mobile number and email ID in your personal profile. The display name is used in the Welcome message.
- **Manage Third Party**  
You can define your own trusted third parties to whom you wish to transfer funds. You can also add, delete or modify your list of trusted third parties.
- **Define Limits**  
You can set limits for demand draft and third party transfers, in the profile section. It is advisable to set a lower limit. You can enhance the limit as and when required
- **Enable High Security**  
SMS based high security is an additional layer of security provided for your transactions. It is recommended that you enable this feature, in the profile section. Whenever you transfer funds to own or third party accounts, issue a demand draft or credit funds to a PPF account you will receive a high security password by SMS. You need to use this password to complete the transaction.

Login

Personal Banking

### New Services

#### SBI - InstaPay

▶ Make instant payment for utility bills... [More](#) ▶▶



Call Us

Toll Free at  
**1800 112211** or  
**1800 425 3800**

(accessible from landlines and mobile)

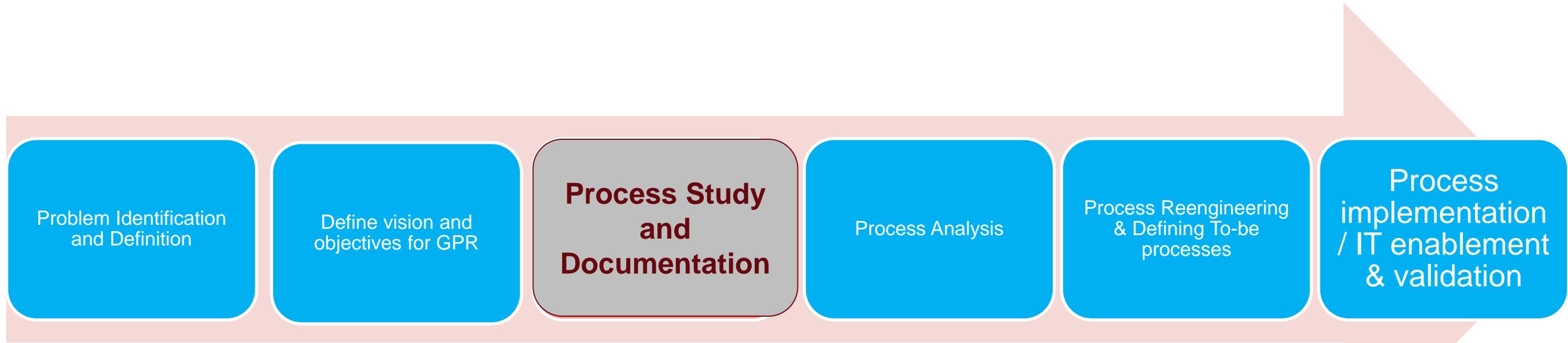
For round the clock information on deposits, advances, A/c balance, transaction details and services.

### Trouble Accessing Login page?

If you have trouble accessing the login page

[Click here](#) ▶▶

# Approach to GPR



Study process flow, actors, policies, process stages

Documenting as-is processes and creating Process Maps

Recording time and other data elements for each process step

Validation of process documentation from dept.

# Government Processes – Unique Attributes

- Processes are usually derived from the underlying set of laws and regulations
- Changing processes radically might take longer timeframe, as it may require legal & regulatory changes
- As the demand for the service grows, more people, functions and hierarchies are involved in a process

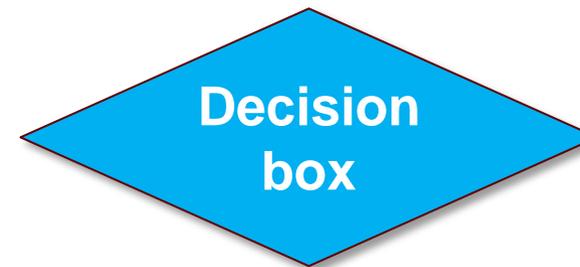
# Flowcharting: Mapping the process at an activity level

- Document the processes in detail, based on process walkthrough
- For graphical process flow mapping common symbols used are as follows



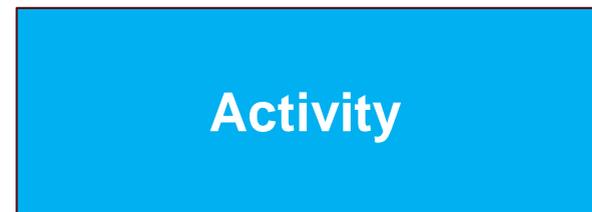
**Start/End**

Indicates the start or end of a process



**Decision box**

Indicates a decision (Yes/No) is being taken



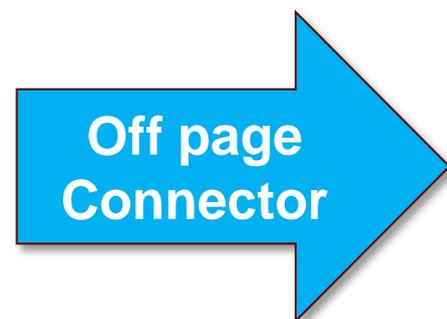
**Activity**

Used to specify any activity carried out



**On page**

Used to link within the page



**Off page Connector**

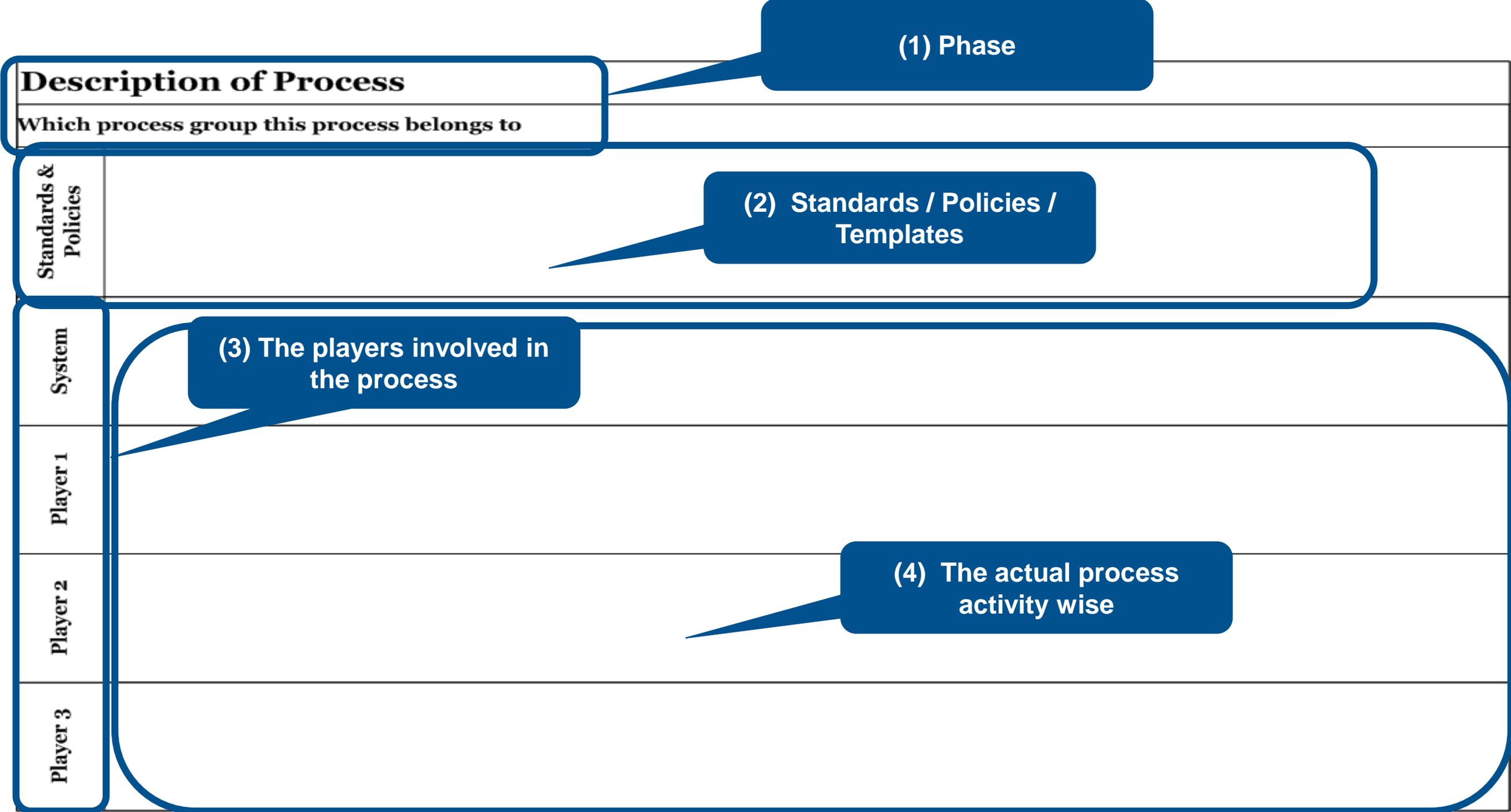
Used to link from one page to another



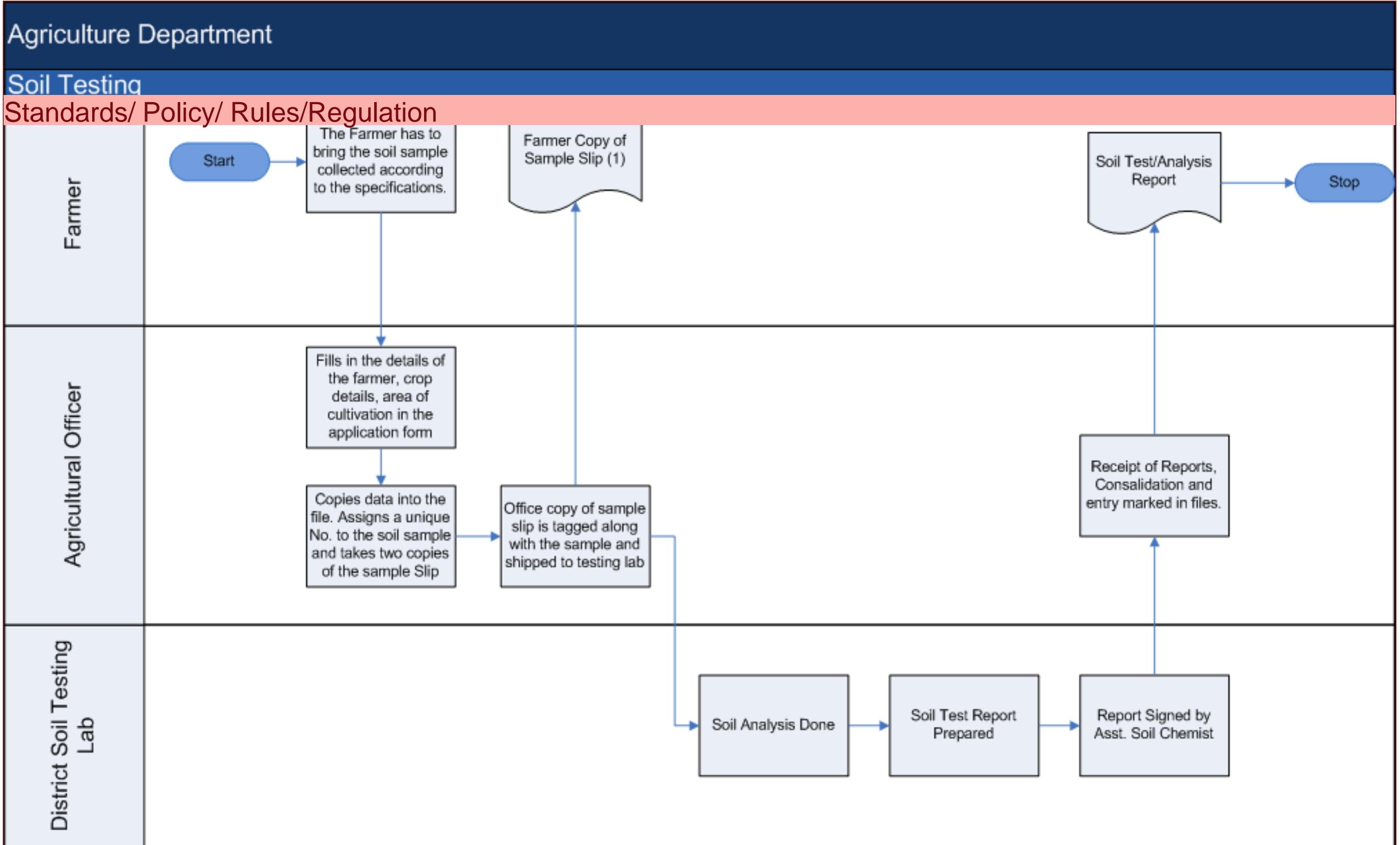
**Documents**

Used to specify standards & policies

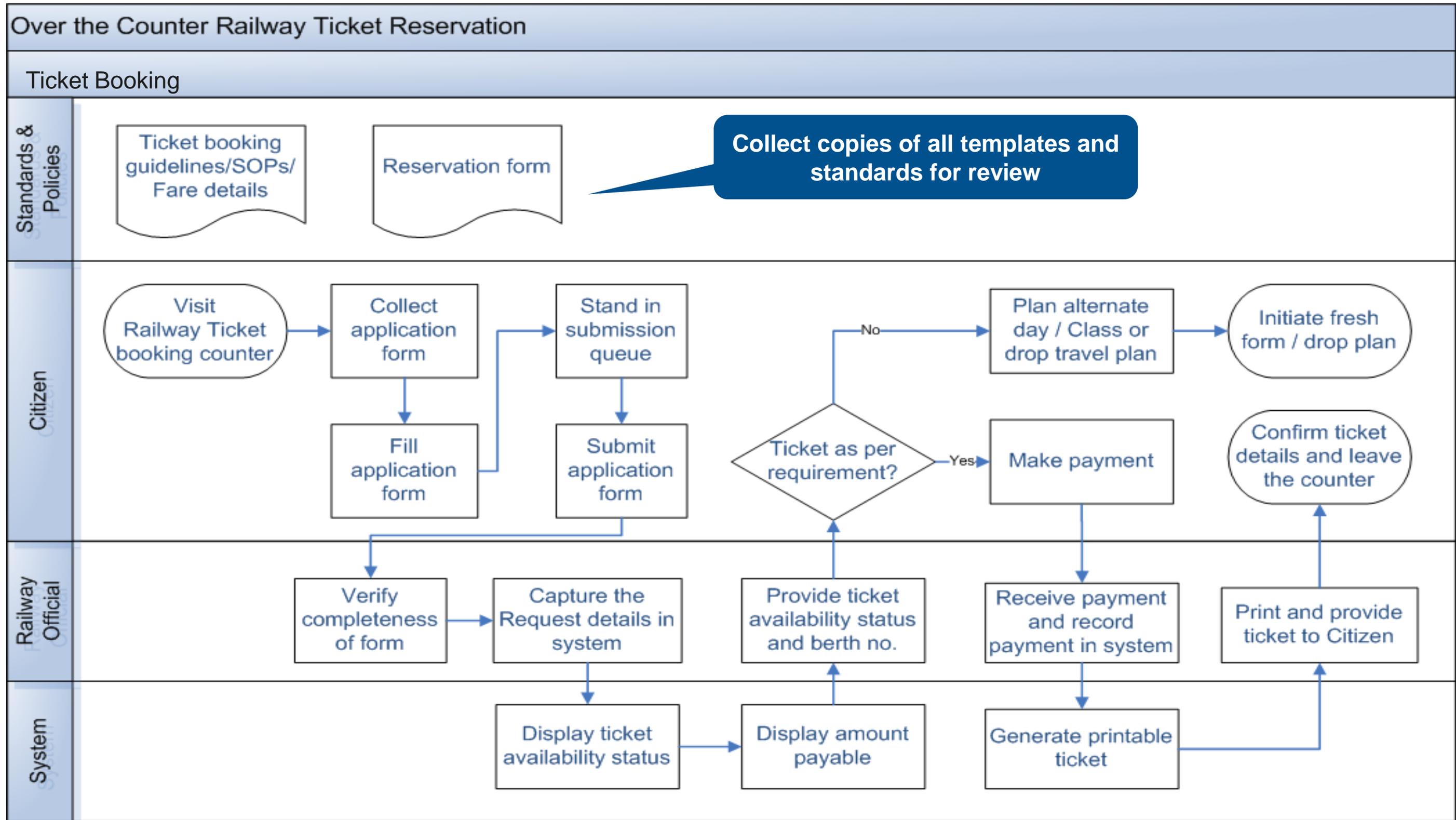
# The Four Field mapping template



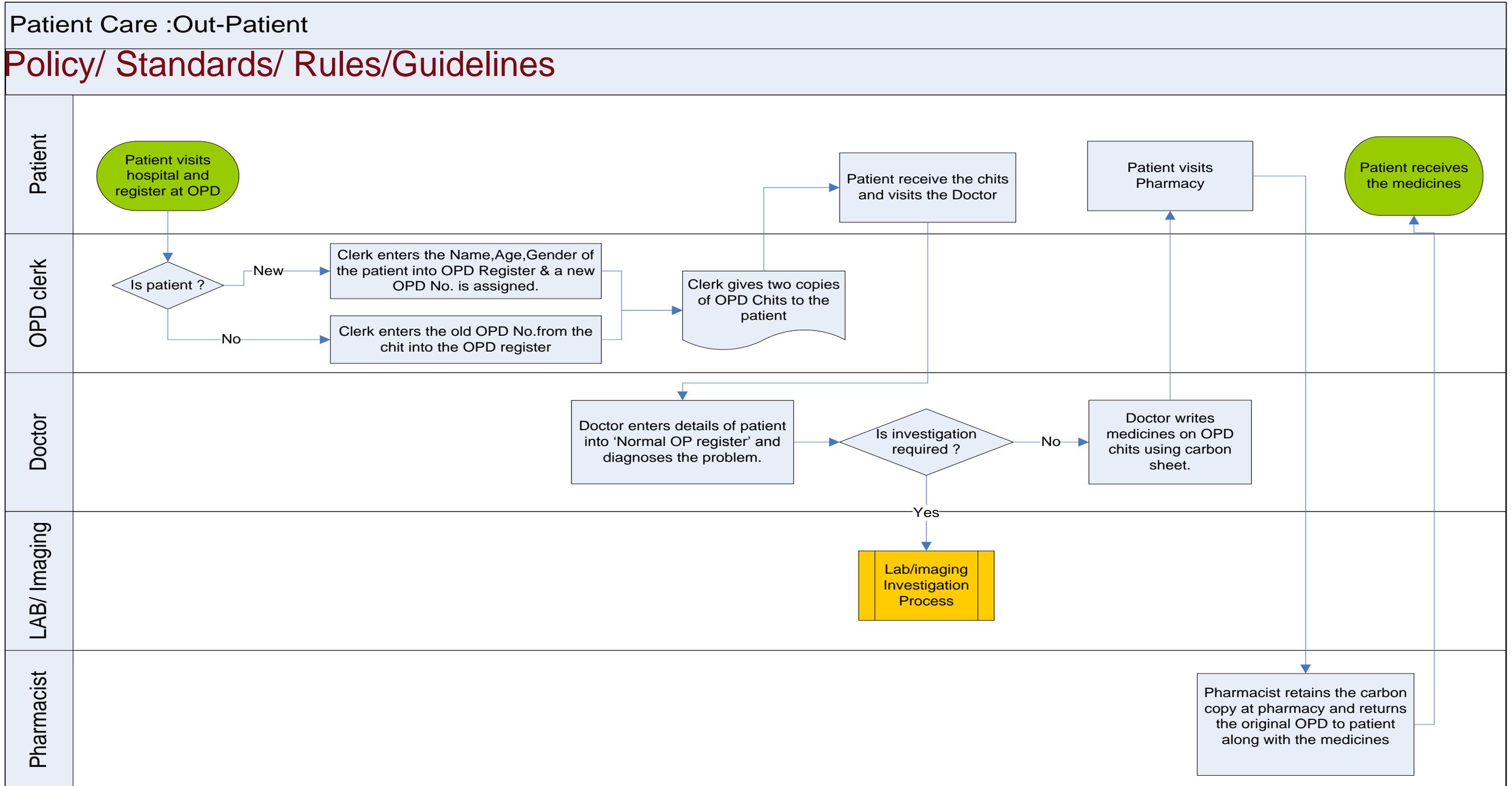
# Examples of As Is Process Mapping



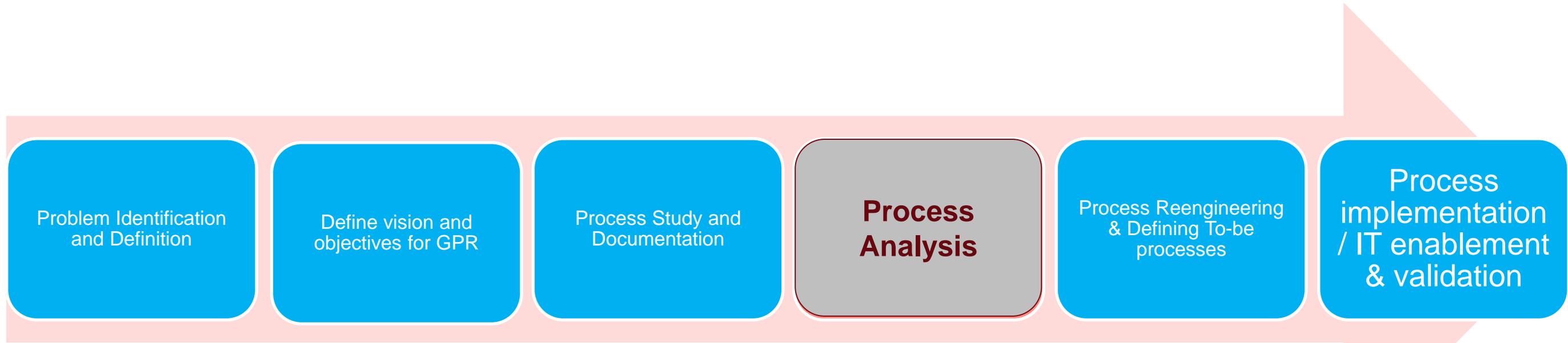
# Sample process map – Railway Reservation



# AS- Is Process Map for Government Hospital



# Approach to GPR

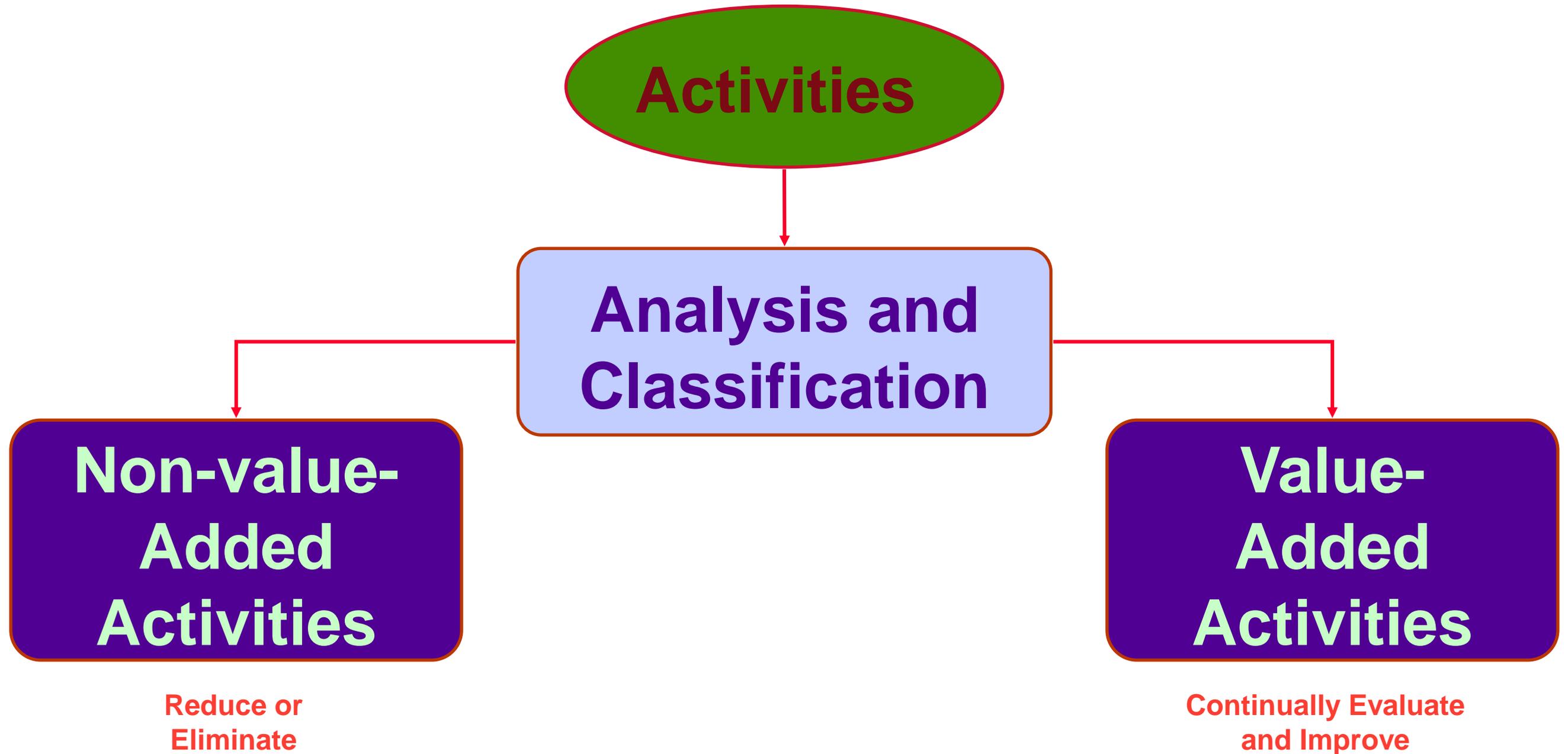


Analyzing process efficiency -  
Value Adding and Non Value  
Adding steps

Analyzing process complexity –  
Data entry points, Hands off  
points etc

Definition of key metrics and  
arriving at baseline indicators  
(TAT, error rate etc)

# Value and Non-value-Added Activities



# Non-value-Added Activities

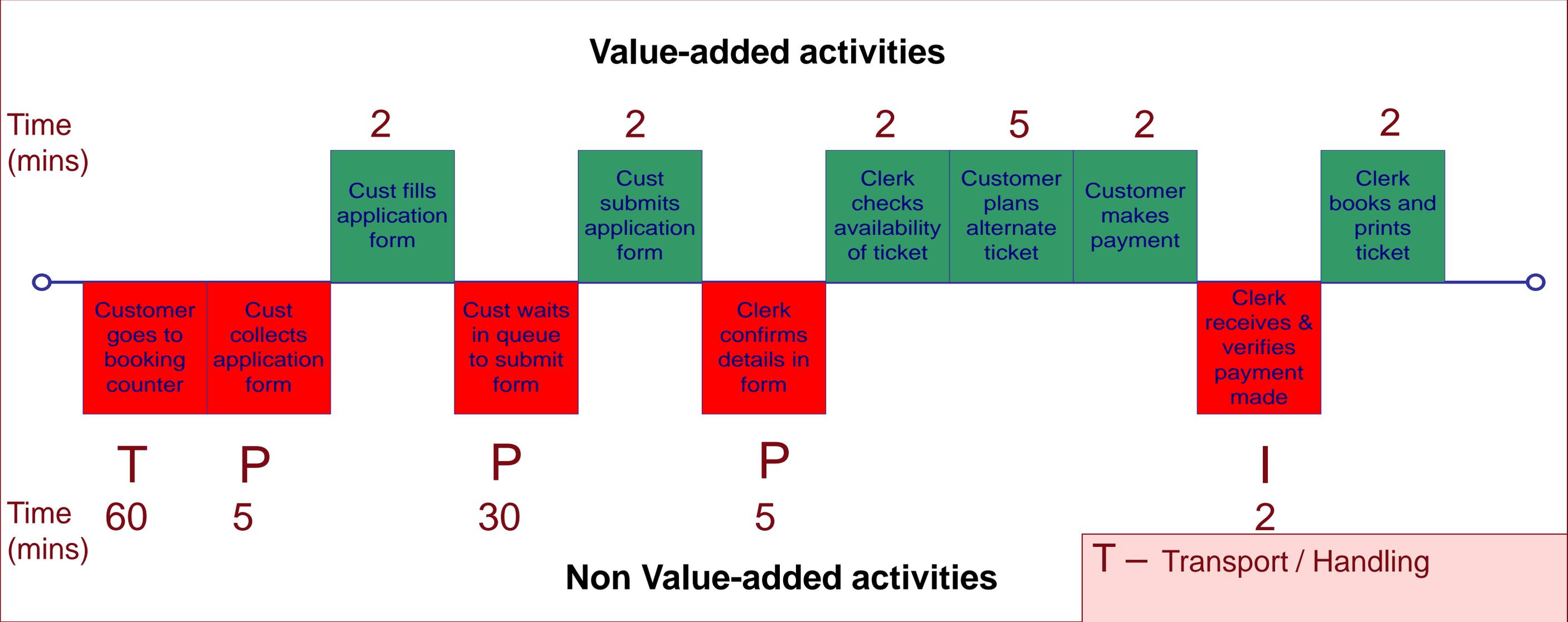
<b>Transport / Handling</b>	<b>T</b>	Moving people, information and/or things from one location to another
<b>Redundancy / Duplication</b>	<b>R</b>	Rework; unnecessary or duplicate performance of a task
<b>Inspection / Verification</b>	<b>I</b>	Ensuring a task was performed correctly / Checking / Reviewing
<b>Preparation</b>	<b>P</b>	Getting ready to perform a task / Prepare to do work

**Get rid of them! Reduce them**



- e.g.: Standing in queue to submit an application form

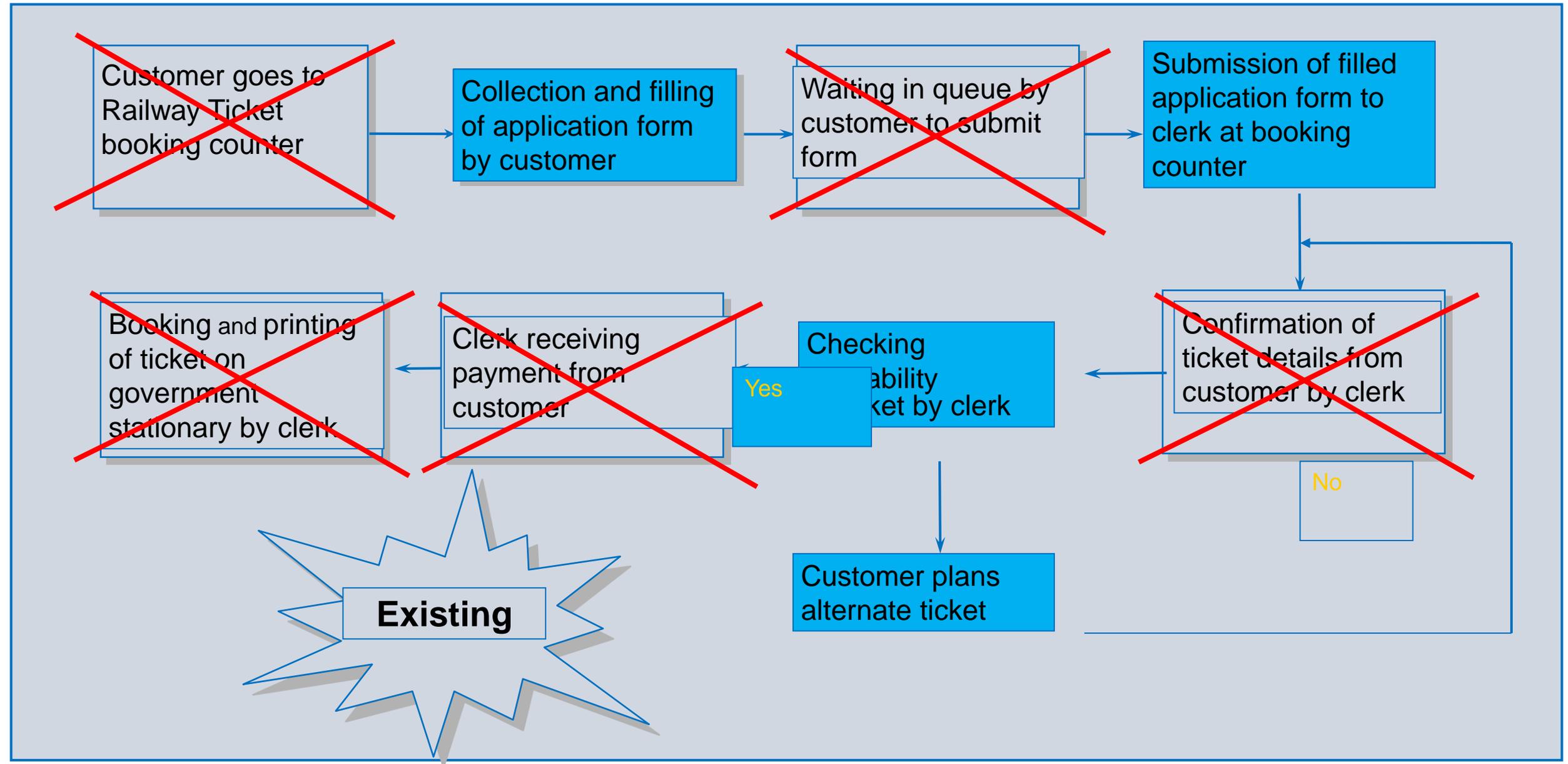
# Railways Ticket booking – Non-Value Added activities



Average time taken to book a ticket: 120 minutes

# Identifying Non-Value Add activities

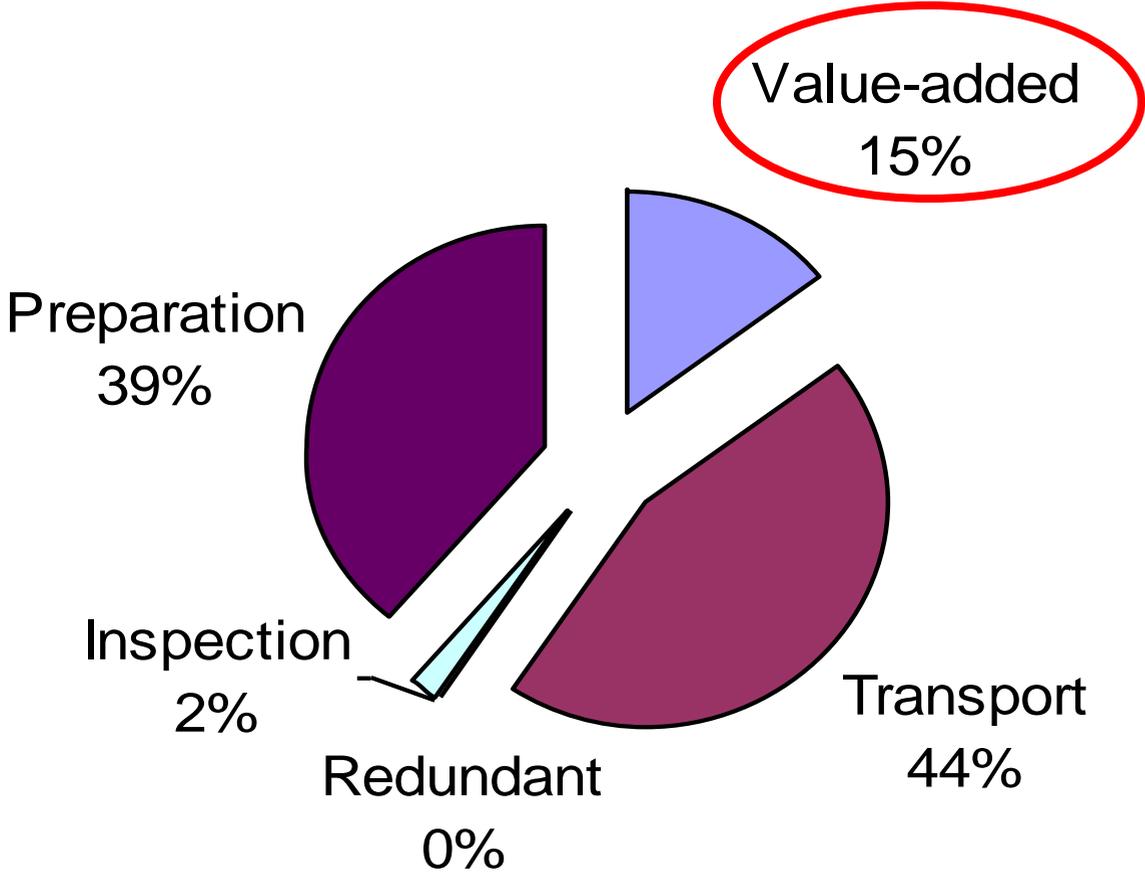
## Railways – Ticket booking at counter



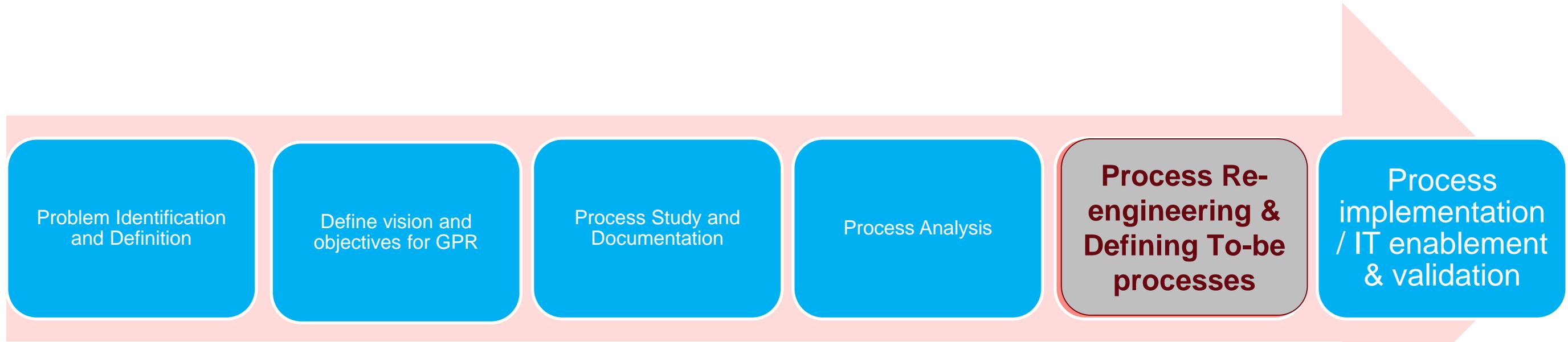
Average time taken to book a ticket: 2 to 3 hours

Before

# Railways Ticket booking – Value Added Ratio



# Approach to GPR



Elimination/Reduction of Non Value Adding / redundant activities

Identification of solutions (re-engineered process)

Evaluation and selection of best solution

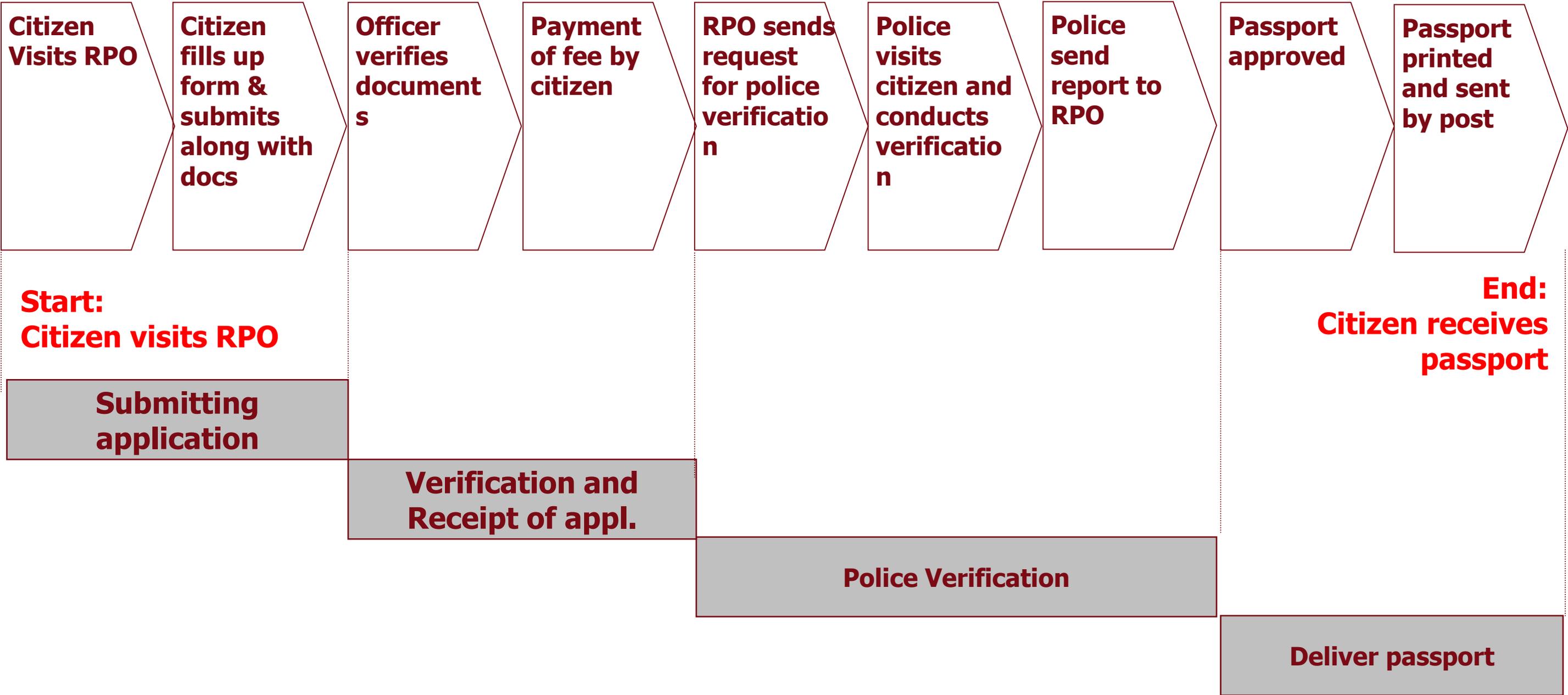
Definition of To-be processes based on the evaluation

Finalization of To-be processes with department

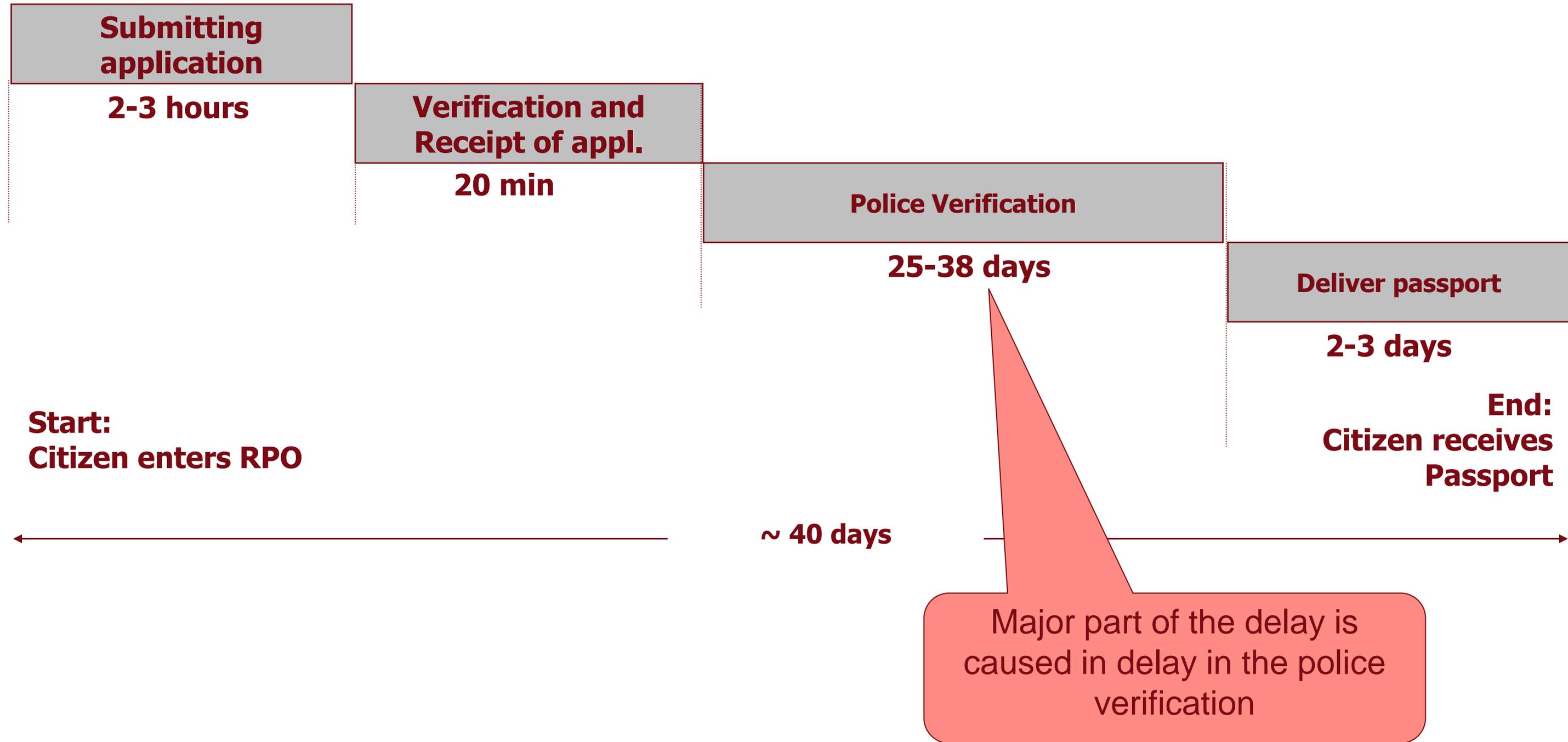
# Steps of process re-engineering

- Focused stakeholder discussions using group thinking techniques facilitate identification of solutions and alternate ideas
- Best practices studies from similar environments provide inputs to possible solutions
- Process Analysis- VA/NVA,, TRIP,, TAT, HOP, DEP,
- Solutions may be based on any of the following process design drivers:
  - Removing the process
  - Replacing processes completely
  - Redesigning existing processes
  - Automation of process- a manual system replaced by a computer system
  - Outsourcing the process

# Solution #1- Removing Process Steps – Passport Seva example



# The existing process took about 40 days



# Revised process ~ 3 days

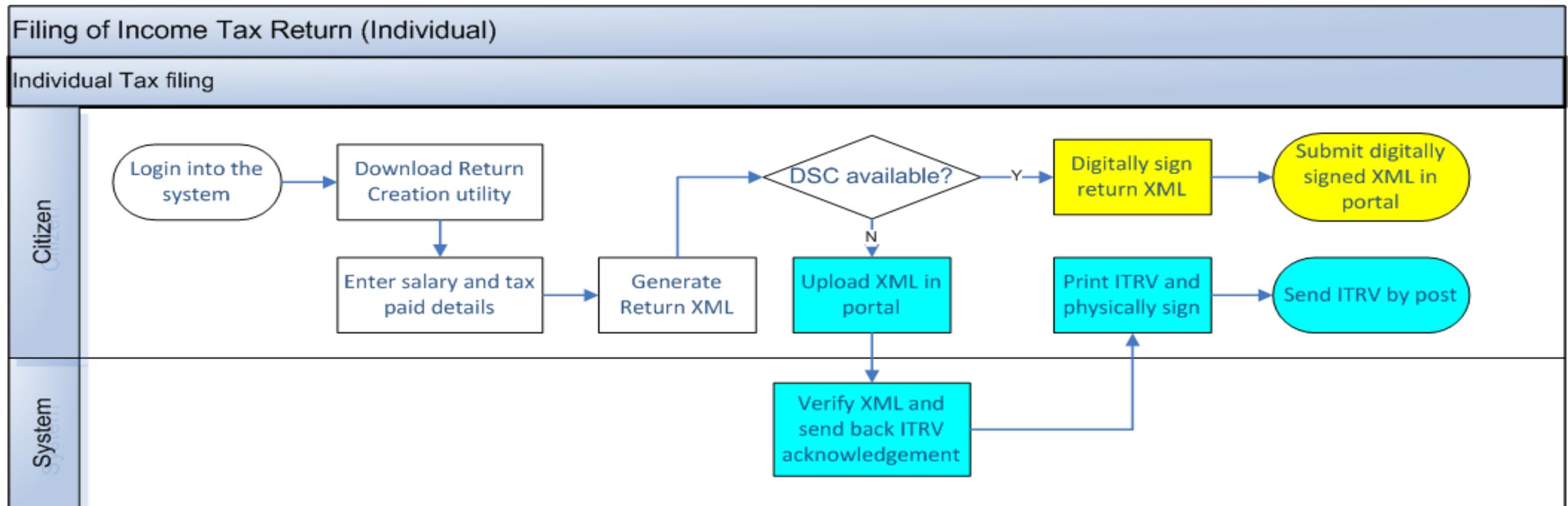


This process rework along with other steps (online submission, facilitation centres etc) improved the overall quality of service..

# Solution #2- Replacing Process with Alternative process options

- **Case of Income Tax:**

- Though many citizens are conversant with e-filing, most of them do not possess Digital Signatures
- Hence alternate process for citizens without DSC was designed

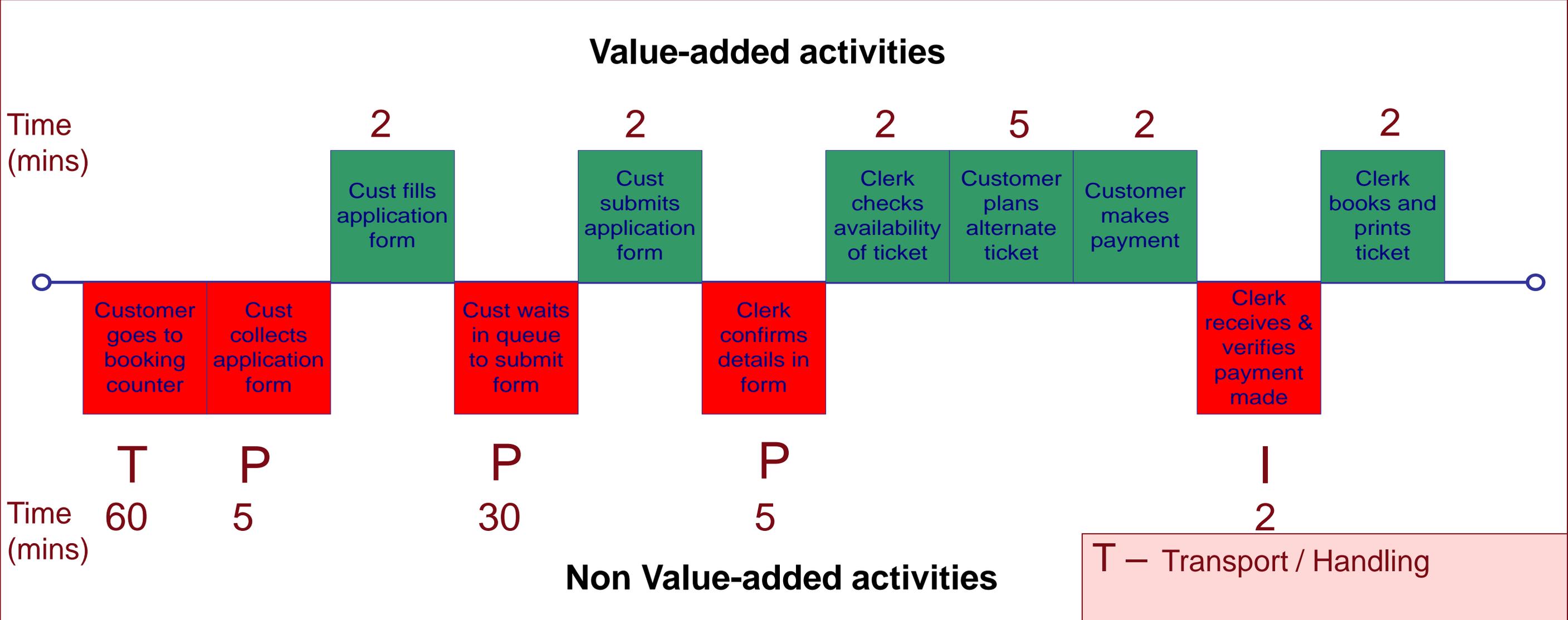


Option for citizen with DSC



Option for citizen without DSC

# Solution #3- Removing Non Value Added Activities- Railways Ticket booking



Average time taken to book a ticket: 120 minutes

# Solution # 4= Automation ( 1 of 2)

## Problems with manual bid evaluation

Bidder 1

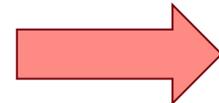
Item	Rate
Drug1	1.2
Drug3	3.4
Drug21....	20

Bidder 2

Item	Rate
Drug3	5
Drug63	0.50
Drug211....	21

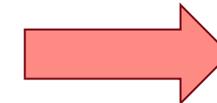
Bidder 3

Item	Rate
Drug3	4.2
Drug211....	26



Drug 3 – Consolidated

Item	Rate
Bidder1	3.4
Bidder 2	5
Bidder3	4.2

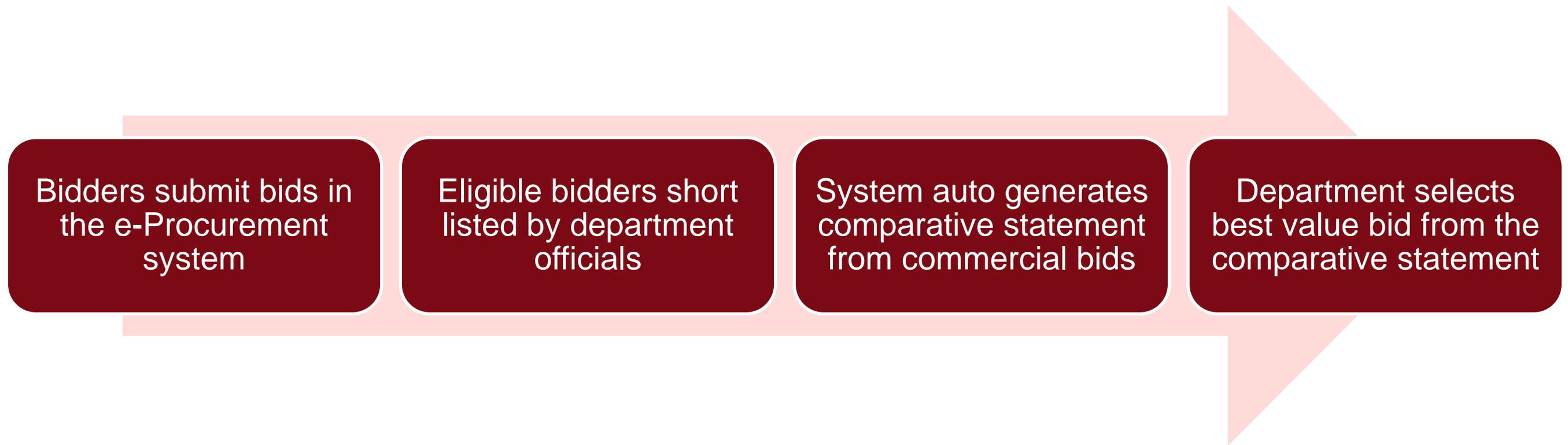


Drug 3 – Ranking

Item	Rate
Bidder1	3.4
Bidder3	4.2
Bidder2	5

- Large number of bidders (in hundreds)
- Each bidder on average bids for more than 30 drugs
- Significant efforts in manually sifting through the bids and preparing comparative tables
- High chance for manual errors
- Process takes **more than 2 months** on an average

# Automation Example- E-Procurement ( 2 of 2)



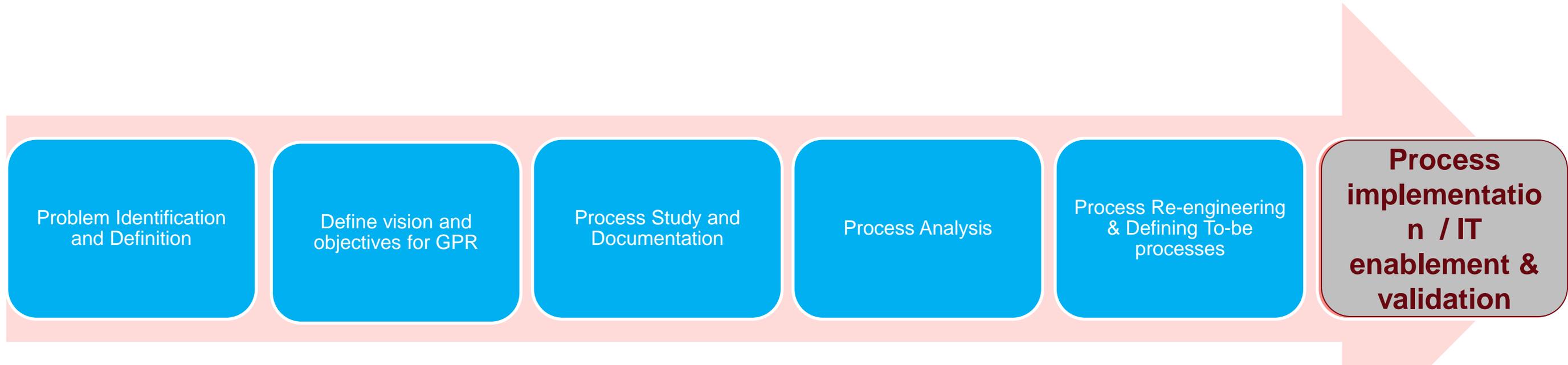
- E-Procurement system auto-generates the comparative sheet immediately after the eligible bidders are shortlisted by the department
- **The step which took months earlier is now completed within a couple of hours**
- Benefits to the department:
  - Huge time and administration cost savings, by use of shared platform
  - Increased transparency in the procurement process and in the department among vendors

## Solution # 5- Outsourcing example: E-Seva



- E-Seva was envisioned by the Government of Andhra Pradesh to be a one stop shop for the delivery of a bouquet of citizen services...

# Approach to GPR



Implementation of re-engineered processes

Implementation of IT system to handle re-engineered process flow

Legal Framework changes, Change Management

# Impact of GPR on Legal Framework

- GPR might necessitate changes in the Legal Framework governing the domain
- Process changes have to be accompanied by appropriate domain legal changes, to provide legal backing to the change
- GPR can also be seen as an opportunity for changing the OUTDATED Rules governing many domains...

# Constraints while undertaking GPR

- **Business Process Re-engineering**
  - Private / non-government Sector
  - Significant changes possible in operating structure & processes
  - Minimal impact of regulations on changes to internal structure & processes
  - Allows for significant benefits to be achieved in short spans of time
- **Government Process Re-engineering**
  - Government Sector
  - Constrained by Acts of Law which it may not be practical / possible to get modified in a short time-frame due to multiple dependencies
  - Focus must be on changes which can be implemented through
    - ‘Rules’ applying to the Act – which are easier to get modified, and
    - ‘Procedures’ for operating under the specified Rules

# Ensuring the success of GPR

Commitment from top management and acceptance of responsibility

Setting expectations that are realistic and not over-ambitious

Requirements of target group are considered

Business case analysis is completed

Legal issues are identified and addressed

Focus on developing long-term solutions

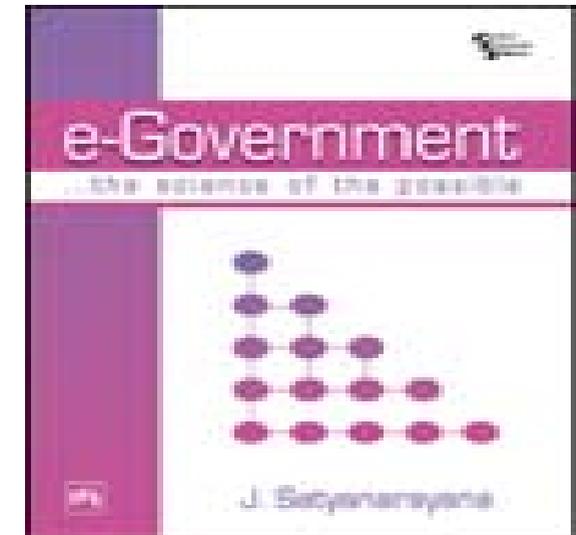
Prior experience in reengineering efforts

Continuous Performance measurement

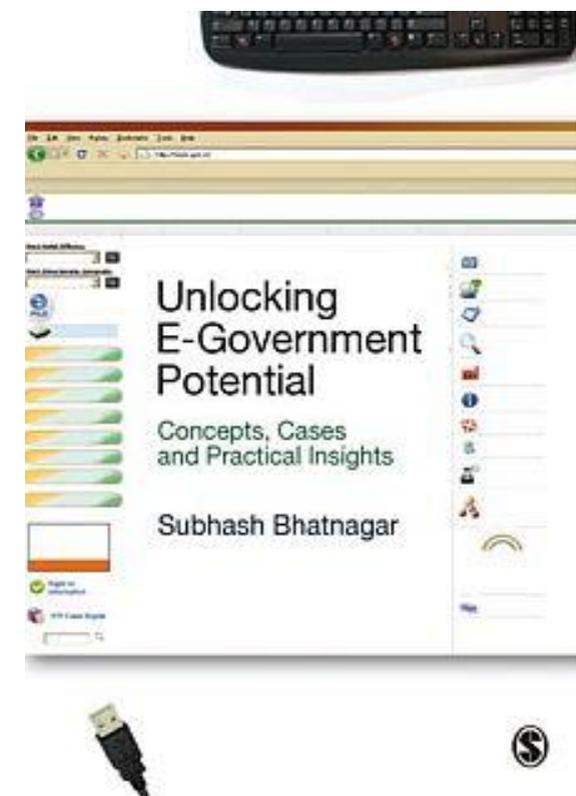
Change Management

# Recommended Books for Reference

**1. e- Government ..... the science of the Impossible by J satyanarayana, Prentice- Hall of India Publication**



**2. Unlocking E-Government Potential- Subhas Bhatnagar, Sage Publication**



THANK YOU

Dr Nirmal Kumar Mandal

9849900766

[nirmal@nisg.org](mailto:nirmal@nisg.org)

[nirmal.mandal@gmail.com](mailto:nirmal.mandal@gmail.com)

Linkedin: Nirmal Kumar Mandal